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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 1

**Complete if Known**

Application Number	10/086,103
Filing Date	February 27, 2002
First Named Inventor	Nina LEWIS
Art Unit	2161
Examiner Name	Cam Linh T. Nguyen
Attorney Docket Number	270/074; OI7010852002

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number – Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
LN	1	US-6,377,950 B1	04-23-2002	Peters et al.	
LN	2	US-2002/0026592 A1	02-28-2002	Gavrilu et al.	
LN	3	US-2002/0082818 A1	06-27-2002	Ferguson et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

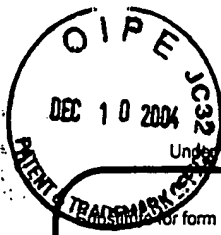
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T <sup>2</sup>
LN	1	BERTINO, E. et al. "Controlled Access and Dissemination of XML Documents" <i>Proceedings of the 2<sup>nd</sup> International Workshop on Web Information and Data Management</i> (November 1999) pp. 22-27	
LN	2	BERTINO, E. et al. "On Specifying Security Policies for Web Documents with an XML-Based Language" <i>Proceedings of the 6<sup>th</sup> ACM Symposium on Access Control Models and Technologies</i> (May 2001) pp. 57-65	
LN	3	BONCZEK, R.H. et al. "A Transformational Grammar-Based Query Processor for Access Control in a Planning System" <i>ACM Transactions on Database Systems (TODS)</i> (December 1977) 2(4):326-338	
LN	4	CASTANO, S. et al. "A New Approach to Security System Development" <i>Proceedings of the 1994 Workshop on New Security Paradigms</i> (August 1994) pp. 82-88	
LN	5	GLADNEY, H.M. "Access Control for Large Collections" <i>ACM Transactions on Information Systems (TOIS)</i> (April 1997) 15(2):154-194	
LN	6	HSIAO, D.K. "A Software Engineering Experience in the Management, Design and Implementation of a Data Secure System" <i>Proceedings of the 2<sup>nd</sup> International Conference on Software Engineering</i> (October 1976) pp. 532-538	
LN	7	MYERS, A.C. and B. LISKOV "Protecting Privacy Using the Decentralized Label Model" <i>ACM Transactions on Software Engineering and Methodology</i> (October 2000) 9(4):410-442	
LN	8	SANDHU, R.S. "The Schematic Protection Model: Its Definition and Analysis for Acyclic Attenuating Schemes" <i>Journal of the Association of Computing Machinery (JACM)</i> (April 1988) 35(2):404-432	
LN	9	SION, R. et al. "Data Security and Protection: Rights Protection for Relational Data" <i>Proceedings of the 2003 ACM SIGMOD International Conference on Management of Data</i> (June 2003) pp. 98-109	
LN	10	WEEDE, H.F. and M. LISCHKA "Role-Based Access Control in Ambient and Remote Space" <i>Proceedings of the 9<sup>th</sup> ACM Symposium on Access Control Models and Technologies</i> (June 2004) pp. 21-30	

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